IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

J. Spencer Grant et al.

Confirmation No. 7395

Application No. 09/815,885

Filed: March 23, 2001

For: METHOD AND APPARATUS FOR
CHARACTERIZING AND
ESTIMATING THE PARAMETERS OF
HISTOLOGICAL AND
PHYSIOLOGICAL BIOMETRIC
MARKERS FOR AUTHENTICATION

Group Art Unit: 3766

Examiner: Reidel, Jessica L.

Attorney Docket No. 36360/1.13

Date: December 13, 2007

DECLARATION UNDER 37 CFR 1.131

TO THE COMMISSIONER FOR PATENTS:

I, Michael M. Conger, hereby declare that:

1. I prepared and filed the above-identified patent application on behalf of the assignee, Tarian Technologies, LLC. A printout from the United States Patent and Trademark Office (USPTO) showing the initial assignment of the above-referenced application to Tarian Technologies, LLC by the named inventors of the above-referenced application is attached as Exhibit 1 (assignment: 1).

2. I believe that the above-identified patent application was later assigned

to Ensign Holdings, LLC. Exhibit 1 shows an assignment to Ensign Holdings, LLC

(assignment: 6).

3. On March 21, 2001, I made final revisions to the above-identified

application. A redacted copy of a billing memo showing an invoice for my work on

March 21, 2001, is attached as Exhibit 2.

4. On March 23, 2001, I finalized the above-identified application and

transmittal documents for filing with the USPTO. Exhibit 2 shows an invoice for this

work on March 23, 2001.

5. On the same day, March 23, 2001, the above-identified application was

filed with the USPTO. Exhibit 2 shows an invoice for this filing on March 23, 2001. In

addition, the above-identified application has been assigned a filing date of March 23,

2001, by the USPTO.

6. I declare that those statements made of my own knowledge are true

and that all statements made on information or belief are believed to be true. This

declaration is being made knowing that willful false statements and the like are

punishable by fine or imprisonment, or both (18 U.S.C. § 1001), and may jeopardize

the validity of the application or any patent issuing thereon.

Michael M. Conge

Date: 17 December 2007

2



United States Patent and Trademark Office





Assignments on the Web > Patent Query

Patent Assignment Abstract of Title

NOTE: Results display only for issued patents and published applications. For pending or abandoned applications please consult USPTO staff.

Total Assignments: 6

Patent #: NONE Issue Dt: Application #: 09815885 Filing Dt: 03/23/2001

Publication #: 20070016088 Pub Dt: 01/18/2007

Inventors: J. Spencer Grant, Rick V. Murakami, Clark Hinton, Matthew W. Pettit

Title: Method and apparatus for characterizing and estimating the parameters of histological and physiological biometric

markers for authentication

Assignment: 1

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: GRANT, J. SPENCER Exec Dt: 07/15/2001

 MURAKAMI, RICK V.
 Exec Dt: 07/15/2001

 HINTON, CLARK
 Exec Dt: 07/15/2001

 PETTIT, MATTHEW W.
 Exec Dt: 07/15/2001

Assignee: TARIAN LLC

3483 AIRPORT ROAD
OGDEN, UTAH 84405
Correspondent: MICHAEL F. KREIGER

1800 EAGLE GATE TOWER 60 EAST SOUTH TEMPLE SALT LAKE CITY, UT 84111

Assignment: 2

Conveyance: AMENDED DEFAULT JUDGMENT

Assignor: UNION RECOVERY Exec Dt: 12/08/2004

Assignee: KIRTON & MCCONKIE

60 EAST SOUTH TEMPLE

SUITE 1800

SALT LAKE CITY, UTAH 84111

Correspondent: KIRTON & MCCONKIE

60 EAST SOUTH TEMPLE

SUITE 1800

SALT LAKE CITY, UT 84111

Assignment: 3

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignors: TARIAN TECHNOLOGIES, INC. Exec Dt: 12/10/2004

 TARIAN TECHNOLOGIES, L.L.C.
 Exec Dt: 12/10/2004

 TARIAN, L.L.C.
 Exec Dt: 12/10/2004

Assignee: KIRTON & MCCONKIE, P.C.

60 EAST SOUTH TEMPLE SALT LAKE CITY, UTAH 84111

Correspondent: JOHN R. THOMPSON

STOEL RIVES LLP ONE UTAH CENTER

201 SOUTH MAIN STREET, SUITE 1100

SALT LAKE CITY, UT 84111

Assignment: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: KIRTON & MCCONKIE Exec Dt: 02/14/2005

Assignee: ENSIGN HOLDINGS, LLC 235 WEST 100 SOUTH

SALT LAKE CITY, UTAH 84101

Correspondent: KIRTON & MCCONKIE

60 EAST SOUTH TEMPLE

SUITE 1800

SALT LAKE CITY, UT 84111

Assignment: 5

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: UNION RECOVERY CORPORATION Exec Dt: 11/07/2006

Assignee: KIRTON & MCCONKIE

60 EAST SOUTH TEMPLE 1800 EAGLE GATE TOWER SALT LAKE CITY, UTAH 84111

Correspondent: JOHN R. THOMPSON

STOEL RIVES LLP ONE UTAH CENTER

201 SOUTH MAIN STREET, SUITE 1100

SALT LAKE CITY, UT 84111

Assignment: 6

Reel/Frame: 018707/0536 Recorded: 12/21/2006 Pages: 4

Conveyance: ASSIGNMENT OF ASSIGNORS INTEREST (SEE DOCUMENT FOR DETAILS).

Assignor: KIRTON & MCCONKIE, PC Exec Dt: 12/14/2006

Assignee: ENSIGN HOLDINGS

275 EAST SOUTH TEMPLE, SUITE 250 SALT LAKE CITY, UTAH 84111

Correspondent: JOHN R. THOMPSON

STOEL RIVES LLP ONE UTAH CENTER

201 SOUTH MAIN STREET, SUITE 1100

SALT LAKE CITY, UT 84111

Search Results as of: 11/30/2007 01:07 PM

If you have any comments or questions concerning the data displayed, contact PRD / Assignments at 571-272-3350. v.2.0.1 Web interface tast modified: April 20, 2007 v.2.0.1

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LAW OFFICES OF KIRTON & MCCONKIE A PROFESSIONAL CORPORATION 1800 EAGLE GATE TOWER 80 EAST SOUTH TEMPLE P.O. BOX 45120 SALT LAKE CITY, UTAH 84145-0120

TAX ID # 87-0375298

TELEPHONE (801) 328-3600

ATTN: KEVIN K. NELSON TARIAN TECHNOLOGIES 4126 NORTH 980 WEST PLEASANT VIEW UT 84414

APRIL 18 2001 9437-11

AGE 1

9437-11
PAT/SOFTWARE METHOD FOR NORMALIZING AND
S U M M A R Y

Balance before last month

BALANCE FORWARD

Current Legal Fees Current Costs Disbursed

> TOTAL CURRENT CHARGES (see attached) BALANCE NOT INCLUDING INTEREST

ACCUMULATED INTEREST DUE TOTAL BALANCE INCLUDING INTEREST



LAW OFFICES OF KIRTON & MCCONKIE A PROFESSIONAL CORPORATION 1800 EAGLE GATE TOWER 50 EAST SOUTH TEMPLE P.O. BOX 45/20 SALT LAKE CITY, UTAH 84/45-0/20

TAX ID # 87-0375298

TELEPHONE (801) 328-3800

ATTN: KEVIN K. NELSON TARIAN TECHNOLOGIES 4126 NORTH 950 WEST PLEASANT VIEW UT 84414

9497-11 9497-11

PAGE

FOR PROFESSIONAL SERVICES RENDERED

PAT/SOFTWARE METHOD FOR NORMALIZING AND

SERVICES

MAR 21 01 MMC MAKING FINAL REVISIONS TO PATENT

APPLICATIONS BASED ON CLIENT'S REVIEW

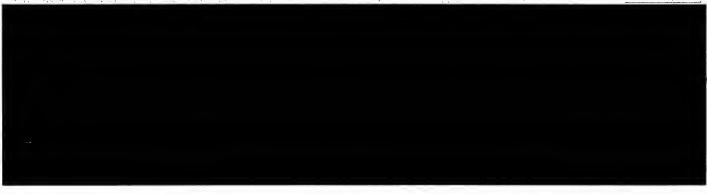
AND REQUEST FOR CHANGES.

MAR 23 OI MFK FINALIZE APPLICATION AND TRANSMITTAL

DOCUMENTS AND ATTEND TO FILING IN USPTO

MAR 23 01 MMC PINALIZING DOCUMENTS AND APPLICATION FOR

FILING



TOTAL FEES

DISBURSEMENTS

POSTAGE
PHOTOCOPIES
MESSENGER SERVICE
PATENT AND TRADEMARK FEE
TOTAL DISBURSEMENTS

ALL LEGAL FEES AND COSTS DISBURSED ARE DUE UPON YOUR RECEIPT OF THIS INVOICE. ANY AMOUNTS WHICH ARE NOT PAID WITHIN THIRTY (30) DAYS OF THE DATE OF THIS INVOICE SHALL BEAR INTEREST AT THE RATE OF ONE & ONE-HALF PERCENT (1 1/2%) PER MONTH (18% PER YEAR).

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

J. Spencer Grant et al.

Confirmation No. 7395

Application No. 09/815,885

Filed: March 23, 2001

For: METHOD AND APPARATUS FOR CHARACTERIZING AND ESTIMATING THE PARAMETERS OF HISTOLOGICAL AND PHYSIOLOGICAL BIOMETRIC MARKERS FOR AUTHENTICATION

Group Art Unit: 3766

Examiner: Reidel, Jessica L.

Attorney Docket No. 36360/1.13

Date: January 30, 2008

DECLARATION UNDER 37 C.F.R. § 1.131

I, Clark T. Hinton, hereby declare that:

- 1. I am a named inventor of the above-identified patent application (hereafter "Application"), which was filed on March 23, 2001.
- 2. I, along with the other named inventors of the Application, conceived and diligently reduced to practice the subject matter of the Application in this country (the United States of America) prior to March 21, 2001.
- 3. I received a draft of the application and drawings for my review prior to March 21, 2001. A copy of a letter sent by the attorney handling the case, Mr. Michael F. Krieger, is attached as Exhibit 1. As shown in Exhibit 1, Mr. Krieger mailed a draft of the application and associated drawings to Mr. Rick V. Murakami. Mr. Murakami is an inventor of the Application.
- 4. The letter from Mr. Krieger refers to enclosed drawings. See Exhibit 1. I, along with the other named inventors of the Application, developed the referenced drawings

in the United States prior to March 21, 2001. A copy of these drawings is attached as Exhibit 2.

5. I declare that those statements made of my own knowledge are true and that all statements made on information or belief are believed to be true. This declaration is being made knowing that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. § 1001), and may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Clark 1 Huton

Clark T. Hinton

MICHAEL F. KRIEGER

KIRTON SI MCCONKIE

A PROFESSIONAL CORPORATION
ATTORNEYS AT LAW

1800 EAGLE GATE TOWER 60 EAST SOUTH TEMPLE P.O. BOX 49120 SALT LAKE CITY, UTAH 84145-0120 FAX (801) 321-4893 TELEPHONE (801) 328-3600 E-MAIL, mkrisger@kmclaw.com

Mr. Rick V. Murakami Tarian, LLC 3483 Airport Road Ogden, Utah 84405

Re:

United States Patent Application for

METHOD AND APPARATUS FOR NORMALIZATION OF HISTOLOGICAL AND PHYSIOLOGICAL BIOMETRIC MARKERS FOR AUTHENTICATION

Our File: 9437.11

Dear Rick:

Enclosed herewith for your review is the original of the above-entitled patent application including drawings with attached Declaration, Power of Attorney and Petition. An original Assignment in favor of Tarian Technologies is also enclosed.

Please review and have Clark and Matthew review the enclosed application in terms of accuracy, completeness and correct use of terminology. If the application is adequate in its present form, please sign and have Clark and Matthew sign the original Declaration, Power of Attorney and Petition at the back of the application and also the original Assignment and return them to me in the enclosed, self-addressed, stamped envelope for filing with the Patent and Trademark Office.

If a few corrections are required, please make those corrections directly on the face of the enclosed patent application and date and initial (in the margin) each correction. Then return the corrected patent application and executed documents to us. If extensive changes are necessary, please make those changes on the face of the application document and return (unsigned) all documents to us. We will then amend the original to reflect the suggestions which you make and finalize the application for your signature before filing the application in the United States Patent and Trademark Office.

Also, would you please have Clark provide his residential address and citizenship where indicated on the Declaration and his residential address on the Assignment.

Page 2

As soon as we have received the requested documents from you, we will lodge this application in the Patent Office with the appropriate transmittal documents and government filing fees.

If you have any questions about the enclosed patent application, please let me know.

Cordially,

KIRTON & McCONKIE

ichael F. Krjege

MFK:mlm

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Enclosures:

Application

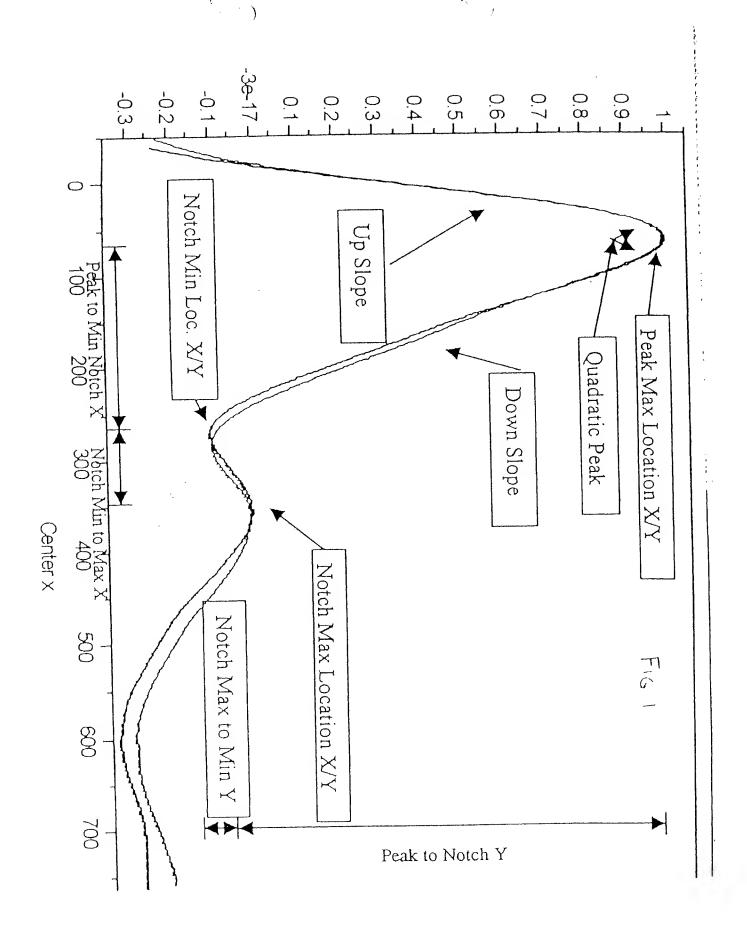
Drawings

Declaration, Power of Attorney and Petition

Assignment

Declaration Claiming Small Entity Status

for a Small Business Concern



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Features of Heartbeat Wave Form

Rate of change (slope) at all locations of the wave form. (There are n-1 of these per wave form. n= number of data points)

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Shape of Peak associated with the dicrotic notch

Shape of Inverted Peak associated with dicrotic notch

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Approach angle of Peak associated with the dicrotic notch

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Minimum rates of change along any defined segment of the wave form

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Frequency of the wave form as determined by any data point

Features of Heartbeat Wave Form	
Frequency of wave form as determined by a combination of data points	
Trend measures associated with a feature	
Trend measures associated with any segment and wave form	~
Cycles associated with any feature	
Cycles associated with any segment of the wave form	
Series associated with a feature	
Series associated with a segment of the wave form	
Variability estimates associated with features	
Variability estimates associated with subsegments	
Variability estimates associated with defined measures	
Linear combination of features, segments, and data on a wave form	
Non-linear combinations of features, segments, and data on a wave form	

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Application No. 09/815,885

Filed: March 23, 2001

For: METHOD AND APPARATUS FOR CHARACTERIZING AND ESTIMATING THE PARAMETERS OF HISTOLOGICAL AND PHYSIOLOGICAL BIOMETRIC MARKERS FOR AUTHENTICATION

Group Art Unit: 3766

Examiner: Reidel, Jessica L.

Attorney Docket No. 36360/1.13

Date: January 29, 2008

DECLARATION UNDER 37 C.F.R. § 1.131

I, Matthew W. Pettit, hereby declare that:

- 1. I am a named inventor of the above-identified patent application (hereafter "Application"), which was filed on March 23, 2001.
- 2. I, along with the other named inventors of the Application, conceived and diligently reduced to practice the subject matter of the Application in this country (the United States of America) prior to March 21, 2001.
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Respectfully submitted,

Matthe Wether

Matthew W. Pettit

MICHAEL F. KRIEGER REGISTERED PATENT ATTORNEY



1800 EAGLE GATE TOWER 80 EAST SOUTH TEMPLE P.O. BOX 49120 SALT LAKE CITY, UTAH 84145-0120 FAX (801) 321-4893 TELEPHONE (801) 328-3600 E-MAIL; mkrieger@kmclaw.com

Mr. Rick V. Murakami Tarian, LLC 3483 Airport Road Ogden, Utah 84405

Re:

United States Patent Application for

METHOD AND APPARATUS FOR NORMALIZATION OF HISTOLOGICAL AND PHYSIOLOGICAL BIOMETRIC MARKERS FOR AUTHENTICATION

Our File: 9437.11

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JIRTON & MCONKIE

uchael F. Krieger

MFK:mlm

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Enclosures:

Application

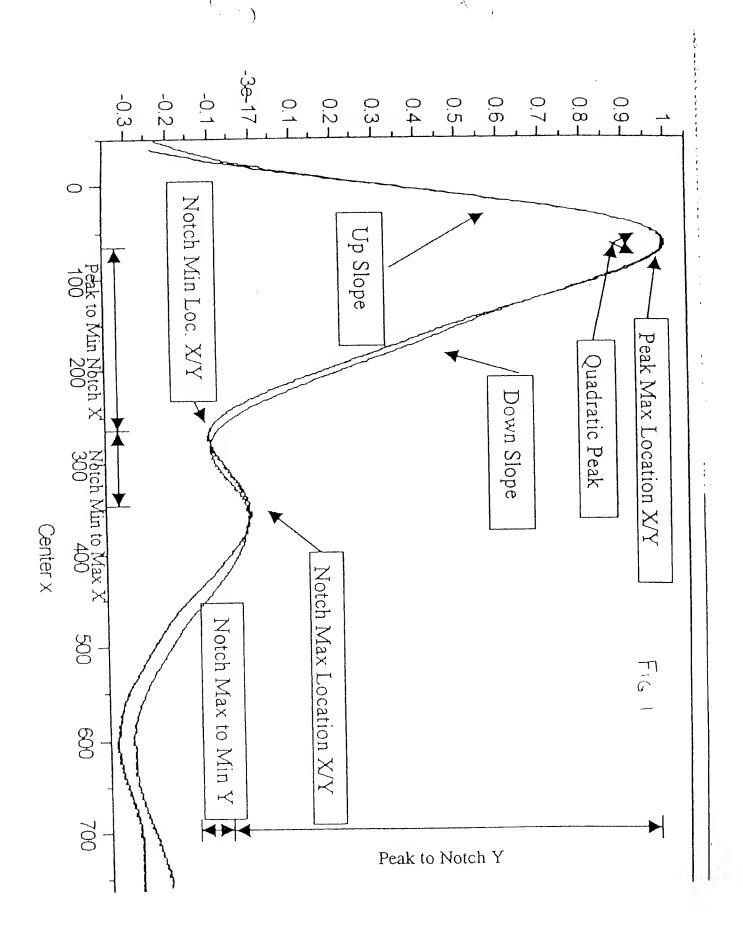
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for a Small Business Concern



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Examiner: Reidel, Jessica L.

Attorney Docket No. 36360/1.13

Date: January 30, 2008

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Respectfully submitted,

Rick V. Murakami

MICHAEL F. KRIEGER

KIRTON SI MCCONKIE

A PROFESSIONAL CORPORATION ATTORNEYS AT LAW

1800 EAGLE GATE TOWER 60 EAST SOUTH TEMPLE P.O. BOX 49120 SALT LAKE CITY, UTAH 84145-0120 FAX (801) 321-4893
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Mr. Rick. V. Murakami

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KIRTON & McCONKIE

lichael F. Krieger

MFK:mlm

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Enclosures:

Application

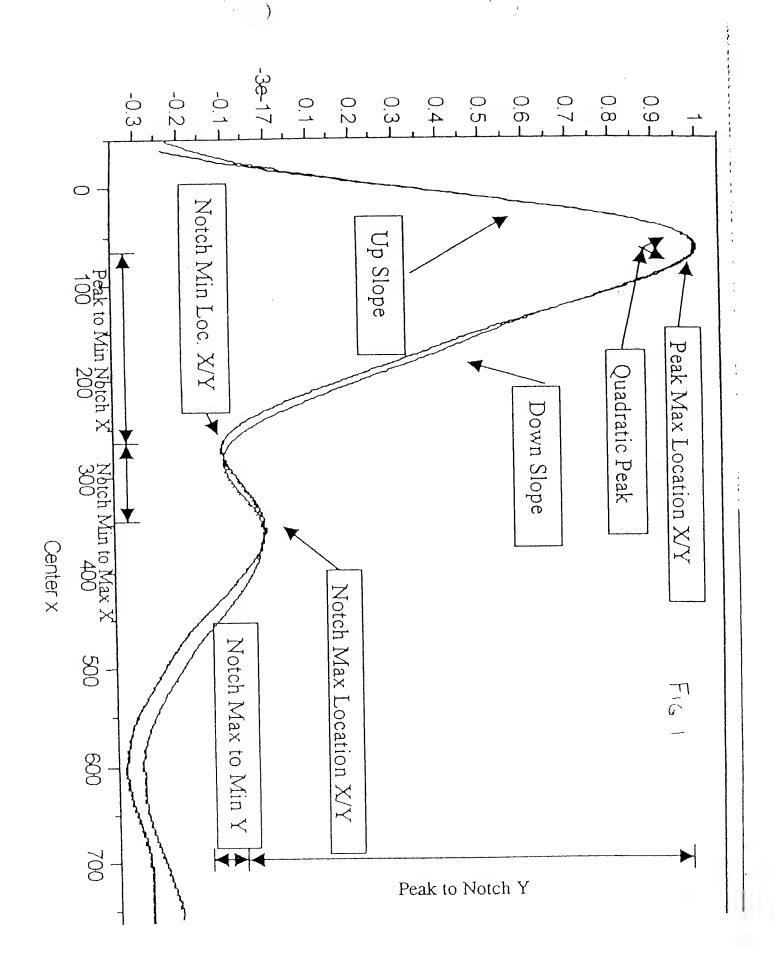
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- 1. I am a named inventor of the above-identified patent application (hereafter "Application"), which was filed on March 23, 2001.
- 2. I, along with the other named inventors of the Application, conceived and diligently reduced to practice the subject matter of the Application in this country (the United States of America) prior to March 21, 2001.
- 3. I received a draft of the application and drawings for my review prior to March 21, 2001. A copy of a letter sent by the attorney handling the case, Mr. Michael F. Krieger, is attached as Exhibit 1. As shown in Exhibit 1, Mr. Krieger mailed a draft of the application and associated drawings to Mr. Rick V. Murakami. Mr. Murakami is an inventor of the Application.
- 4. The letter from Mr. Krieger refers to enclosed drawings. *See* Exhibit 1. I, along with the other named inventors of the Application, developed the referenced drawings

in the United States prior to March 21, 2001. A copy of these drawings is attached as Exhibit 2.

5. I declare that those statements made of my own knowledge are true and that all statements made on information or belief are believed to be true. This declaration is being made knowing that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. § 1001), and may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

J. Spencer Grant

J. Spr. Gr

MICHAEL F. KRIEGER

KIRTON & MCCONKIE

A PROFESSIONAL CORPORATION
ATTORNEYS AT LAW

1800 EAGLE GATE TOWER 80 EAST SOUTH TEMPLE P.O. BOX 49120 SALT LAKE CITY, UTAH 84145-0120 FAX (801) 321-4893 TELEPHONE (801) 328-3600 E-MAIL: midleger@kinclaw.com

Mr. Rick V. Murakami Tarian, LLC 3483 Airport Road Ogden, Utah 84405

Re:

United States Patent Application for

METHOD AND APPARATUS FOR NORMALIZATION OF HISTOLOGICAL AND PHYSIOLOGICAL BIOMETRIC MARKERS FOR AUTHENTICATION

Our File: 9437.11

Dear Rick:

Enclosed herewith for your review is the original of the above-entitled patent application including drawings with attached Declaration, Power of Attorney and Petition. An original Assignment in favor of Tarian Technologies is also enclosed.

Please review and have Clark and Matthew review the enclosed application in terms of accuracy, completeness and correct use of terminology. If the application is adequate in its present form, please sign and have Clark and Matthew sign the original Declaration, Power of Attorney and Petition at the back of the application and also the original Assignment and return them to me in the enclosed, self-addressed, stamped envelope for filing with the Patent and Trademark Office.

If a few corrections are required, please make those corrections directly on the face of the enclosed patent application and date and initial (in the margin) each correction. Then return the corrected patent application and executed documents to us. If extensive changes are necessary, please make those changes on the face of the application document and return (unsigned) all documents to us. We will then amend the original to reflect the suggestions which you make and finalize the application for your signature before filing the application in the United States Patent and Trademark Office.

Also, would you please have Clark provide his residential address and citizenship where indicated on the Declaration and his residential address on the Assignment.

Page 2

As soon as we have received the requested documents from you, we will lodge this application in the Patent Office with the appropriate transmittal documents and government filing fees.

If you have any questions about the enclosed patent application, please let me know.

Cordially,

KIRTON & MCONKIE

ichael F. Krieger

MFK:mlm

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Enclosures:

Application

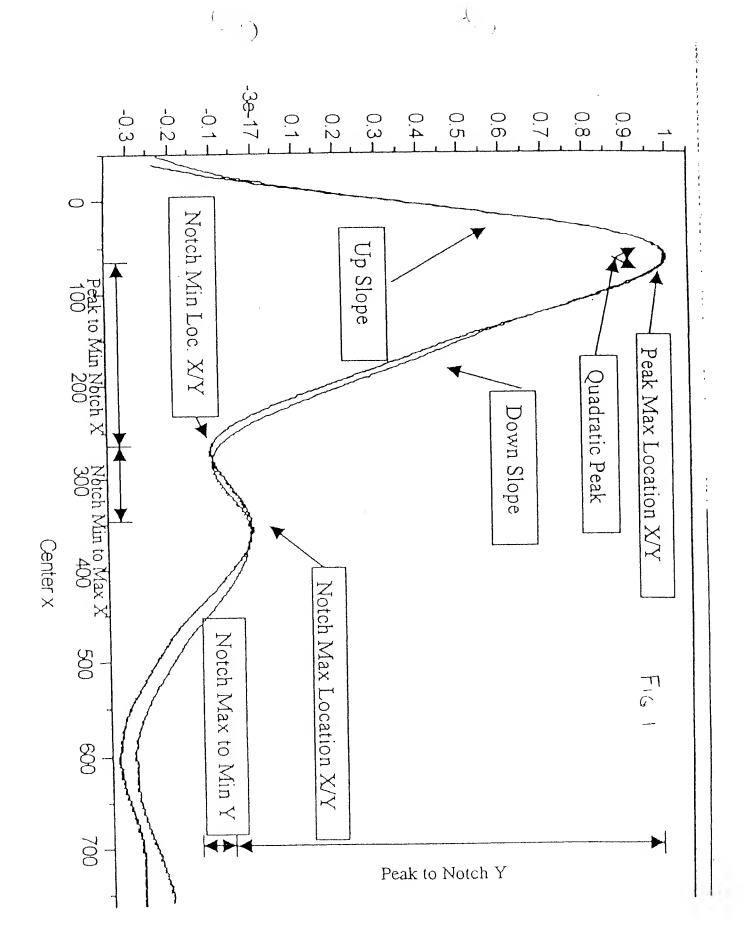
Drawings

Declaration, Power of Attorney and Petition

Assignment

Declaration Claiming Small Entity Status

for a Small Business Concern



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Features of Heartbeat Wave Form

Rate of change (slope) at all locations of the wave form. (There are n-1 of these per wave form. n= number of data points)

Shape of Peak associated with the strongest wave form feature

Shape of Peak associated with the dicrotic notch

Shape of Inverted Peak associated with dicrotic notch

Approach angle of Peak associated with the strongest wave form feature

Approach angle of Peak associated with the dicrotic notch

Approach angle of Inverted Peak associated with the strongest wave form feature

Approach angle of Inverted Peak associated with dicrotic notch

Location of Peak associated with the strongest wave form feature

Relative location of Peak associated with the strongest wave form feature

Location of Peak associated with the dicrotic notch

Relative location of Peak associated with the dicrotic notch

Location of Inverted Peak associated with the dicrotic notch

Relative Location of Inverted Peak associated with the dicrotic notch

Magnitude of Peak associated with the strongest wave form feature

Magnitude of Pcak associated with the dicrotic notch

Magnitude of Inverted Peak associated with dicrotic notch

Maximum rates of change along any defined segment of the wave form

Minimum rates of change along any defined segment of the wave form

Relative location associated with maximum rates of change along any defined segment of the wave form

Relative location associated with minimum rates of change along any defined segment of the wave form

Magnitude associated with maximum rates of change along a defined segment of the wave form

Magnitude associated with minimum rates of change along any defined segment of the wave form

Frequency of the wave form as determined by any data point

Features of Heartbeat Wave Form	
Frequency of wave form as determined by a combination of data points	
Trend measures associated with a feature	
Trend measures associated with any segment and wave form	
Cycles associated with any feature	<u>-</u> -
Cycles associated with any segment of the wave form	·
Series associated with a feature	
Series associated with a segment of the wave form	
Variability estimates associated with features	
Variability estimates associated with subsegments	
Variability estimates associated with defined measures	
inear combination of features, segments, and data on a wave form	
Ion-linear combinations of features, segments, and data on a wave form	

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